

MarRI-UK: Postgraduate Conference

Enhancing Maritime Environment Perception with 3D LiDAR: A Novel Framework
for Robust Detection and Tracking of Floating Objects by Unmanned Surface
Vehicles

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Unmanned Surface Vehicles



Fig. 1. Maritime Robotics 'The Otter USV'. [1]



Fig. 2. Ocean Alpha 'M75'. [2]



Fig. 3. Ocean Power Technologies 'WAM-V 22'. [3]

- Low development and operational costs
- Improved personnel safety and security
- Extended operational range, higher precision, and reliability
- Greater autonomy
- Flexibility in sophisticated environments

[1] Maritime Robotics, "A closeup of the Otter USV," <https://www.maritimerobotics.com/otter> (accessed Apr. 10, 2024).

[2] OceanAlpha, "Autonomous Surveillance & Rescue Vessel," <https://www.oceanalpha.com/product-item/m75/> (accessed Apr. 10, 2024).

[3] OPT, "WAM-V 22 Rugged and relentless," Ocean Power Technologies, <https://wam-v.com/bestsellers> (accessed Apr. 10, 2024).

Environment Perception

- Environment perception is an essential aspect of automated vehicles.
- The relevant technologies have made significant progress in ground vehicle applications, but show a relatively slower pace in the USV domain.
 - Impact of wind, wave and current on ship motions.
 - Impact of sea fog and water reflection on sensor performance.
 - Target objects have a wide range of sizes.

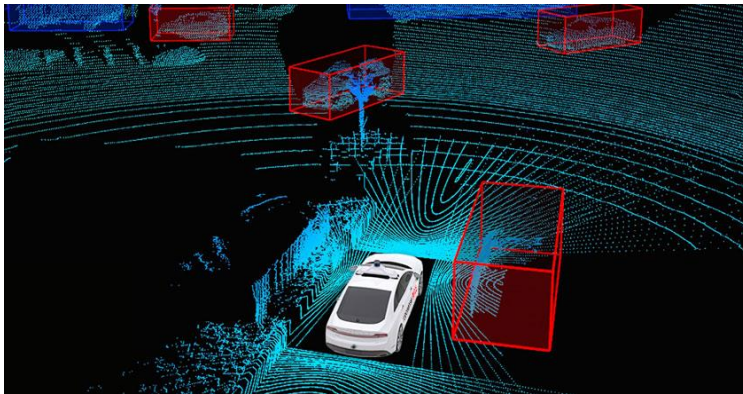


Fig. 4. LiDAR-based Perception for UGV. [4]

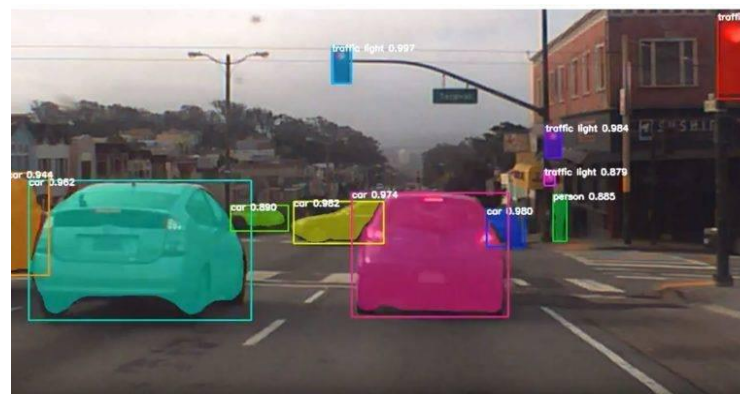


Fig. 5. Image-based Perception for UGV. [5]

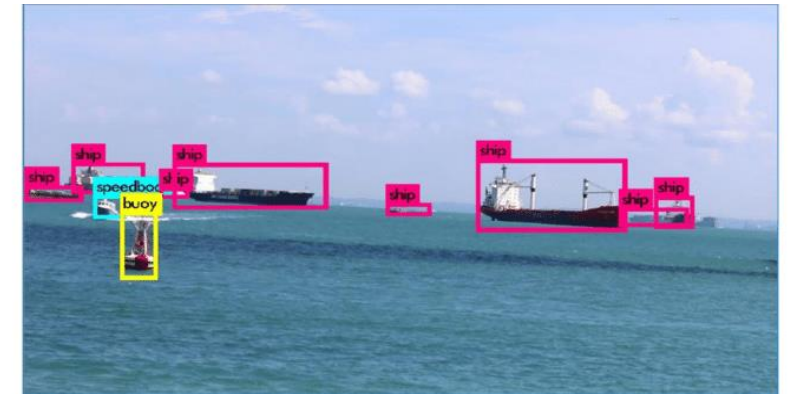


Fig. 6. Image-based Perception for USV. [6]

[4] IOT Automotive, "The Latest Robosense LiDAR Perception Solution Will Support ROBO Taxi Development," <https://iot-automotive.news/the-latest-robosense-lidar-perception-solution-will-support-robo-taxi-development/> (accessed Apr. 10, 2024).

[5] CBINSIGHTS, "Unbundling The Autonomous Vehicle," <https://www.cbinsights.com/research/startups-drive-auto-industry-disruption/> (accessed Apr. 10, 2024).

[6] S.-J. Lee, M.-I. Roh, and M.-J. Oh, "Image-based ship detection using deep learning," *Ocean Systems Engineering*, vol. 10, no. 4, pp. 415–434, Dec. 2020 [Online]. Available: <https://www.mdpi.com/1424-8220/23/19/8093>

Image-based Perception

Image-based perception can generally separated into detection and segmentation methods.

Limitations:

- Detection quality is heavily influenced by the environment.
- Cannot directly provide real-world position information for detected targets.

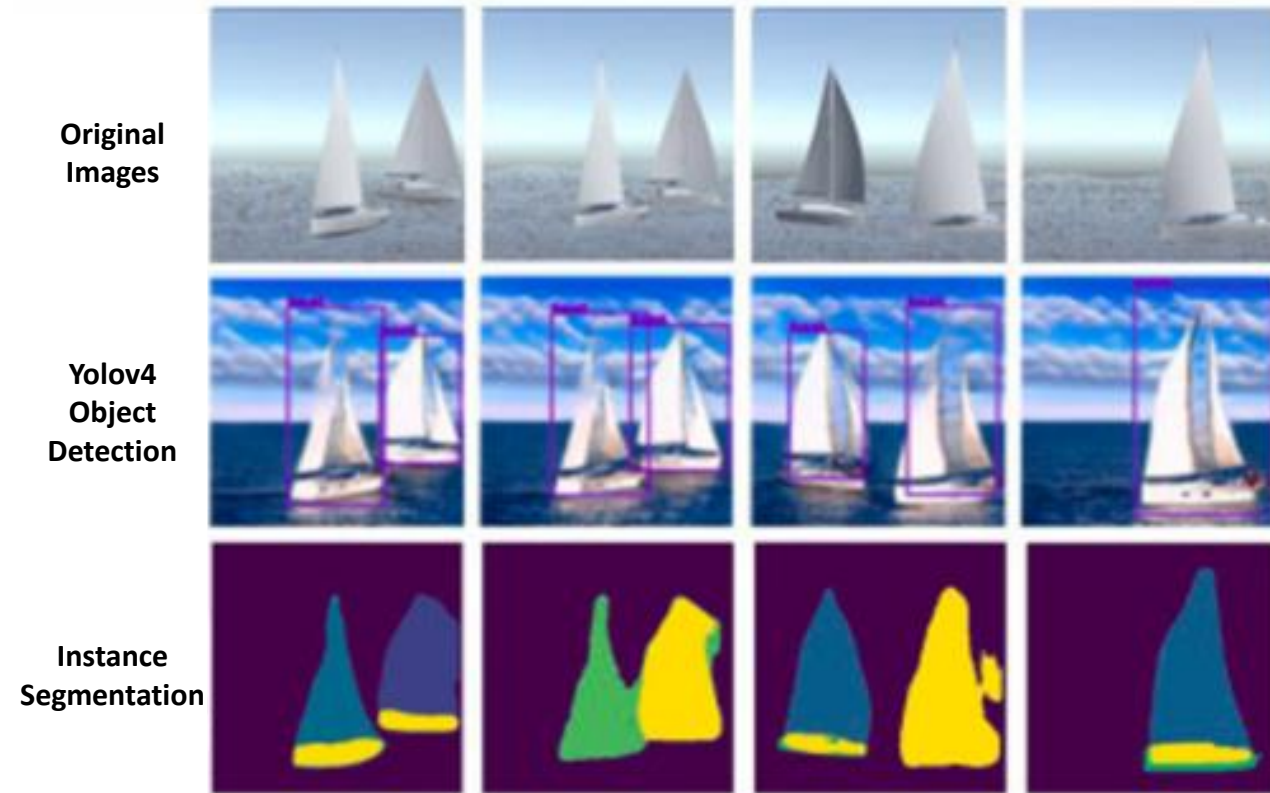


Fig. 7. Image-based perception in Maritime Application. [7]

LiDAR-based Perception

Benefits:

- Robustness under various environment conditions.
- The point cloud data naturally include precise spatial locations.

Limitations:

- Complexity of processing point cloud data.
- Data resolution, particularly at longer distance, is suboptimal.

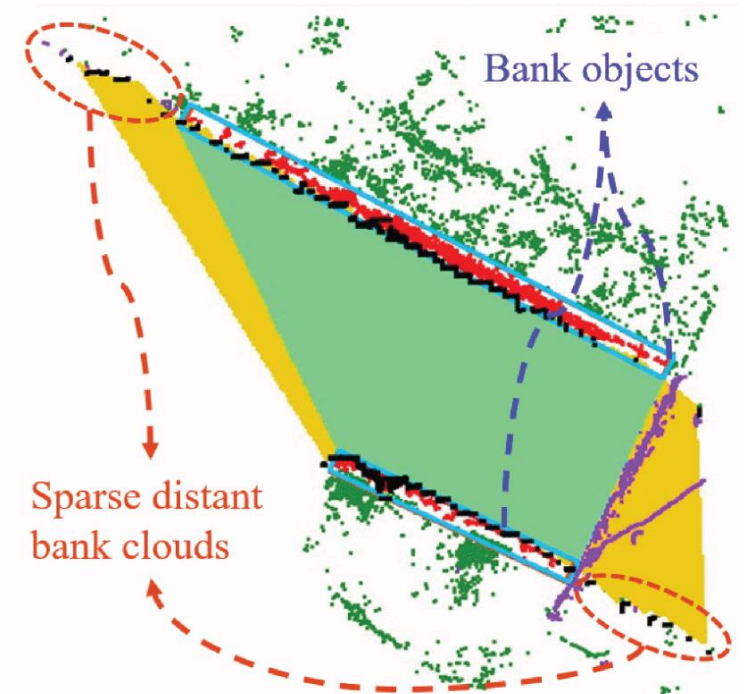


Fig. 8. LiDAR-based Navigable Region Detection. [8]

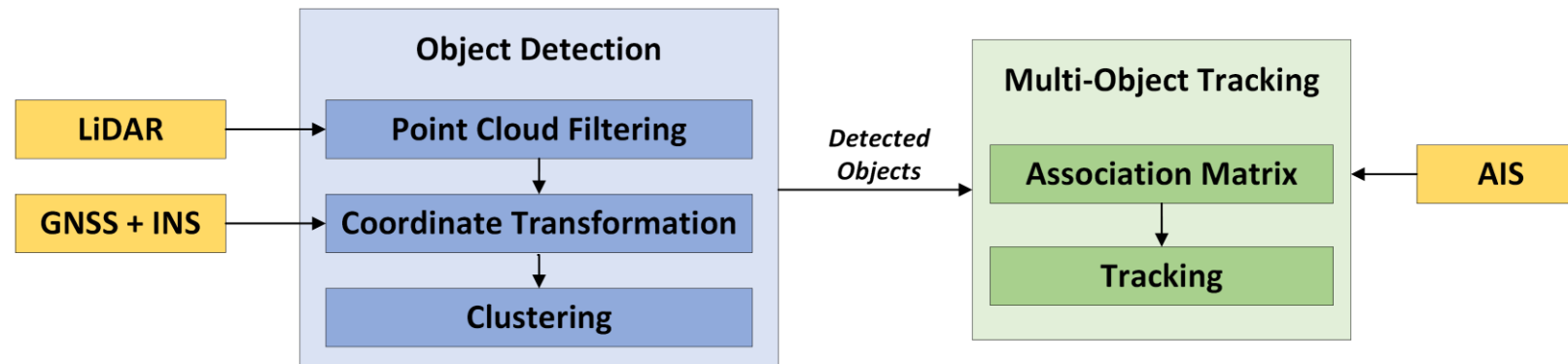
Related Works

Object Detection:

- Clustering-based object detection, e.g. Euclidean & DBSCAN
- Deep learning-based object detection

Multi-Object Tracking:

- Filter-based Multi-object tracking fusion with extra measurements, such as AIS data.



Aim and Objectives

Aim:

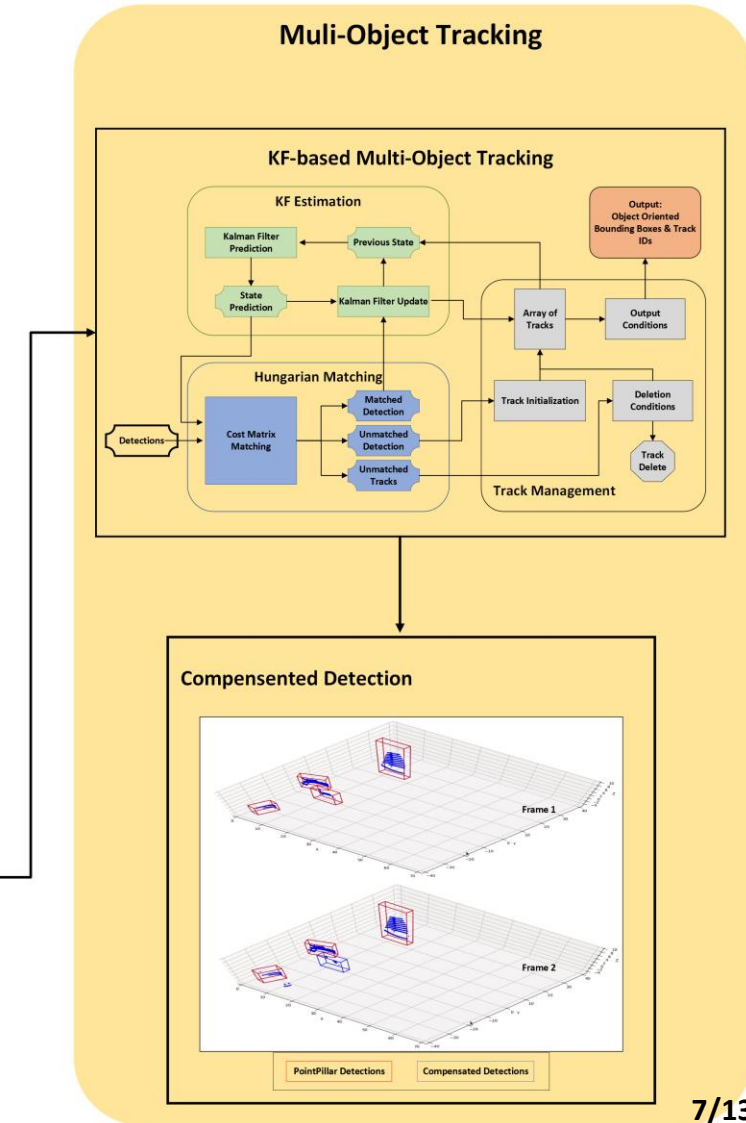
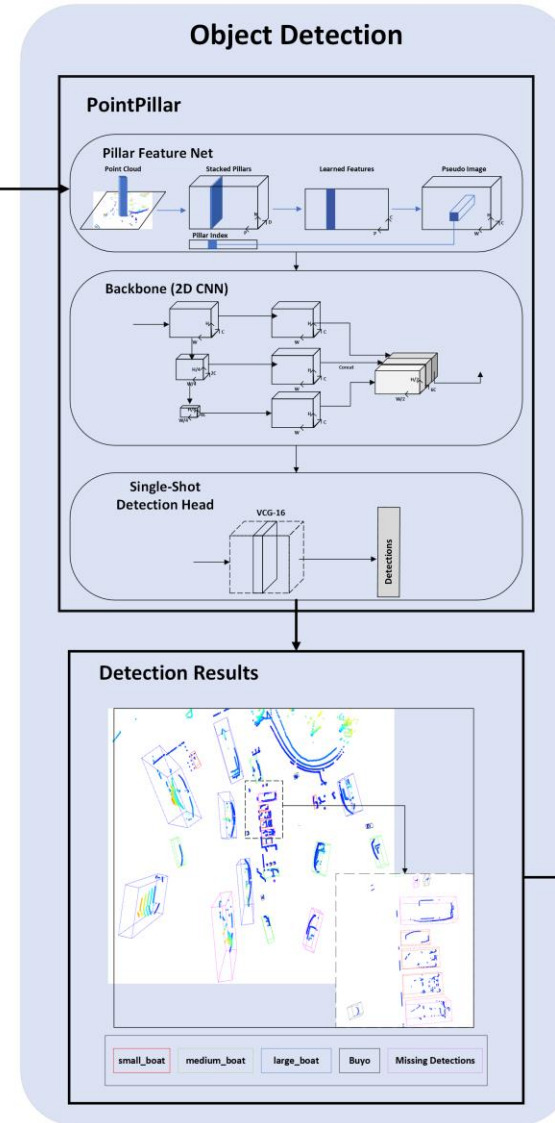
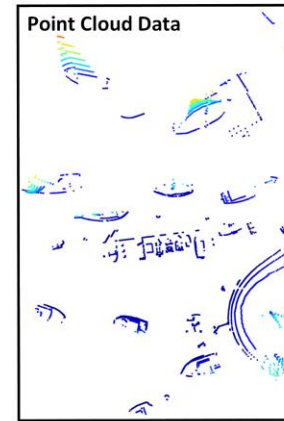
- To develop a robust LiDAR-based perception framework for port area surveillance.

Objectives:

- Utilize a CNN-based method, specifically PointPillars, to process 3D point clouds and accurately detect floating objects on the water surface.
- Propose a tracking algorithm that combines the Kalman Filter and the Hungarian method for continuous detection on USVs, relying on detection results only.

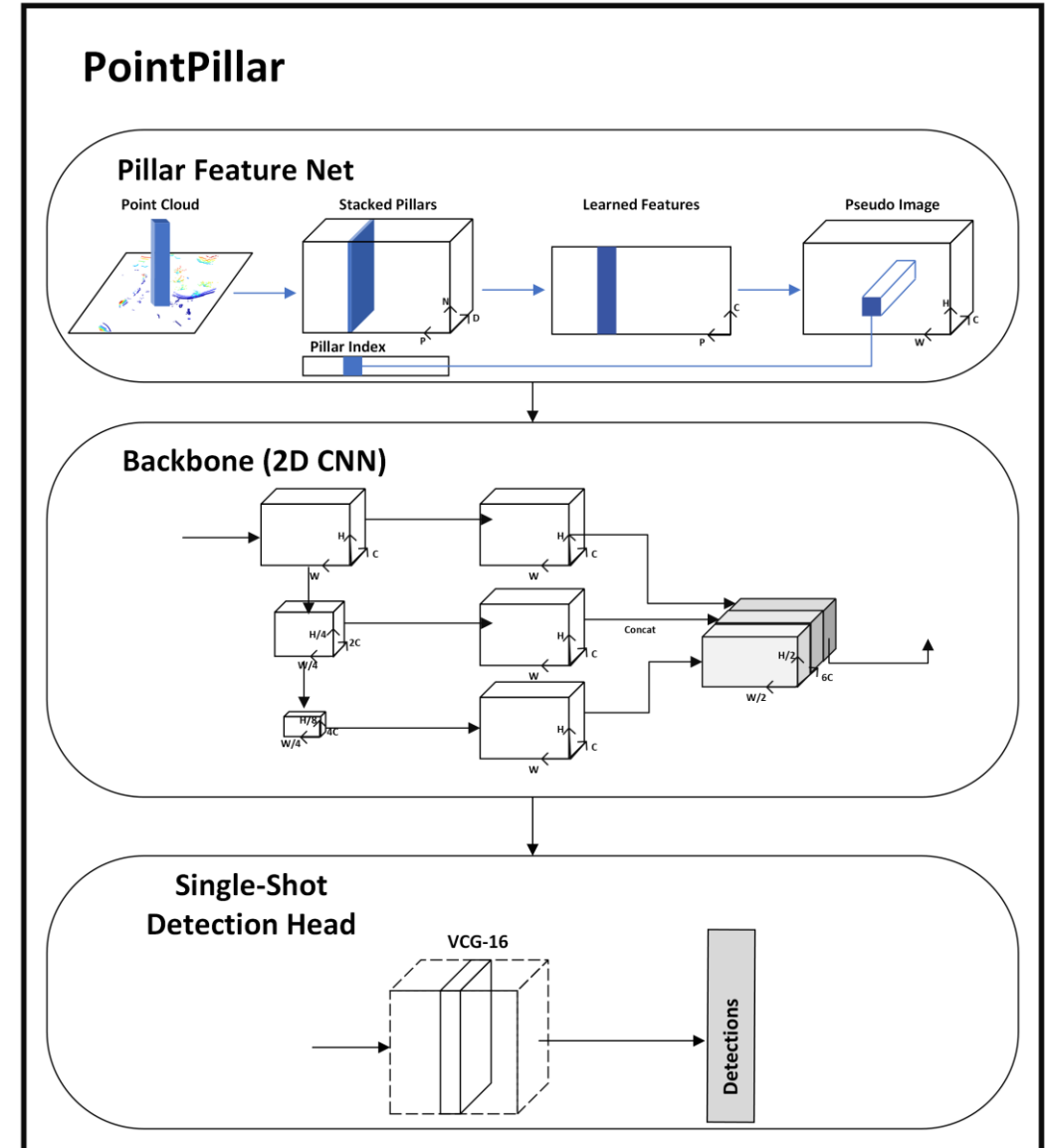
Methodologies

- Object detection modules process the raw point cloud data and return the detected objects with their class and oriented bounding box.
- Multi-object tracking module receives the detection results to address the issue of discontinuous detection caused by the motion of USVs.



PointPillars

- PointPillar network beginning with a **feature encoder network** that transforms 3D point cloud data into a streamlined 2D pseudo-image.
- Pseudo-image then refined by a **2D convolutional backbone**, yielding a high-level representation.
- The last step of this process is finished through a **detection head**, which is responsible for classifying objects and regressing their 3D Obbs.



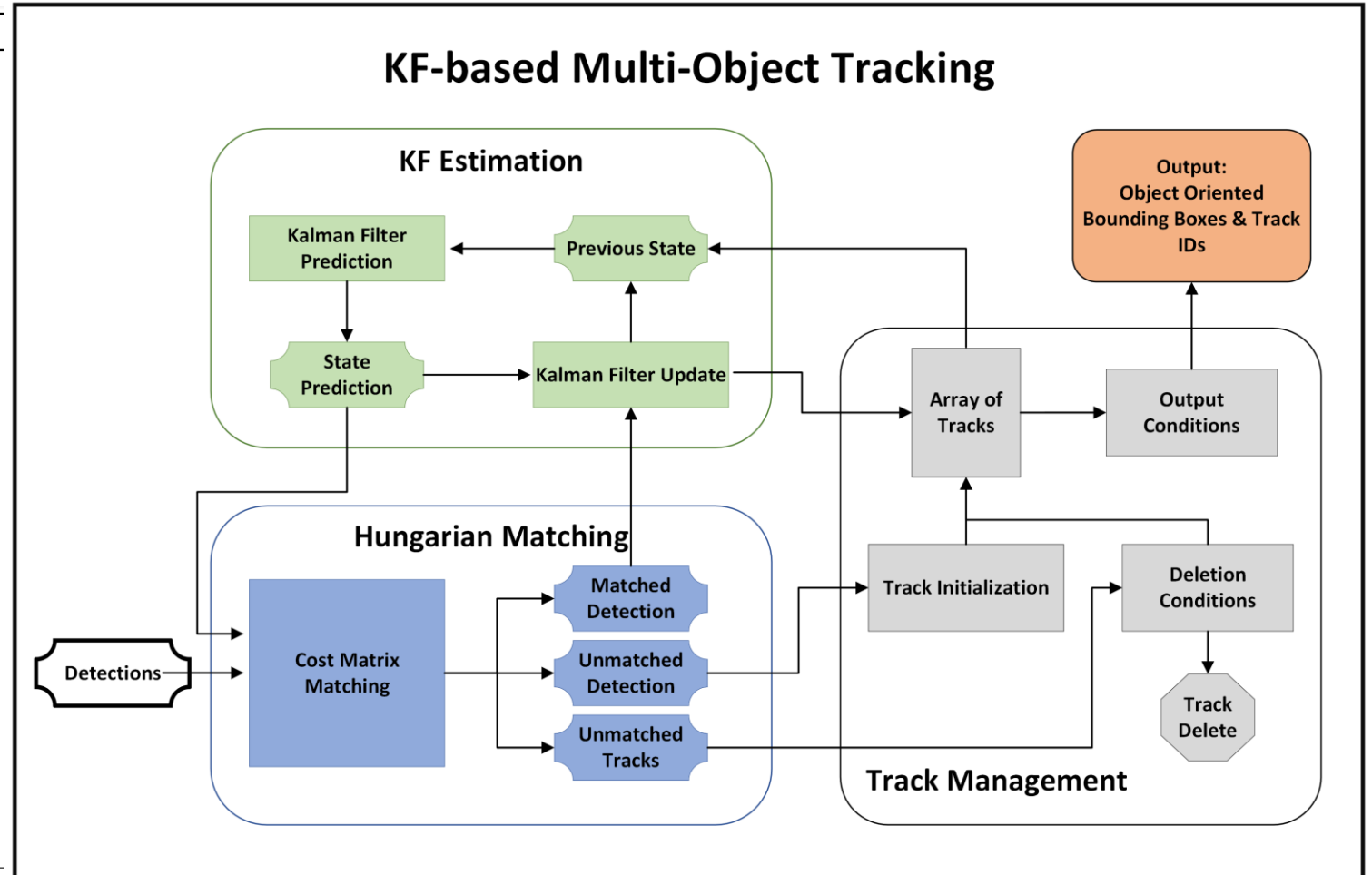
KF-based Object Tracking Algorithm

Algorithm 1: KF-based Multi Object Tracking

```

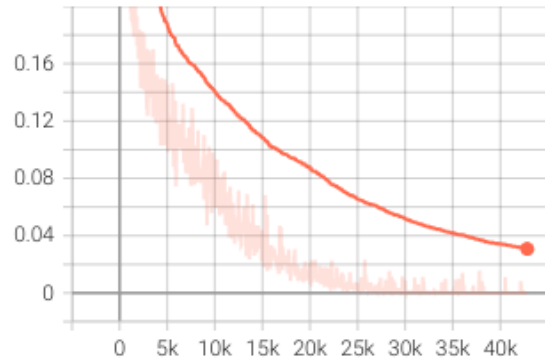
Input: Current frame detections,  $Q_t$ 
Output: Tracked objects in the current frame,  $Q_{tracked}$ 

1  Matching:  $Match \leftarrow \text{Hungarian\_Matching}(Q_t, Q_{tracked, t-1})$ 
2  For each  $q \in Q_t$  and  $p \in Q_{tracked, t-1}$  do
3      if  $Match(q) = \text{NULL}$ 
4           $new\_object \leftarrow \text{Kalman\_Initialize}(q)$ 
5           $trackID \leftarrow trackID + 1$ 
6          Append  $new\_object$  into  $Q_{tracked}$ 
7      elif  $Match(q) = p$  for some  $p \in Q_{tracked, t-1}$ 
8           $matched\_object \leftarrow \text{Kalman\_Correct}(p, q)$ 
9          Append  $matched\_object$  into  $Q_{tracked}$ 
10     else  $Match(p) = \text{NULL}$ 
11         if  $missing\_match < 3$ 
12              $missing\_object \leftarrow \text{Kalman\_Predict}(p)$ 
13              $missing\_match \leftarrow missing\_match + 1$ 
14             Append  $missing\_match$  into  $Q_{tracked}$ 
15         end if
16     end if
17 end for
    
```

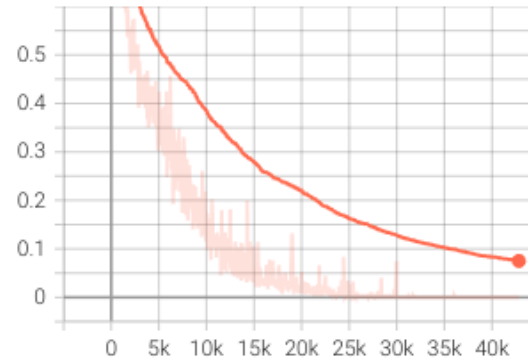


PointPillars Training & Evaluation

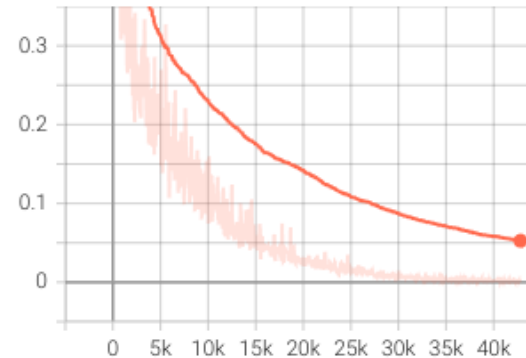
train/cls_loss
tag: train/cls_loss



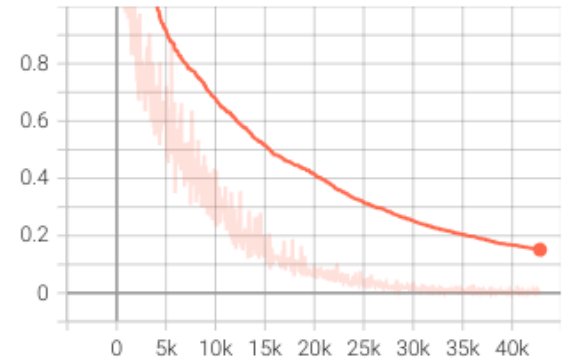
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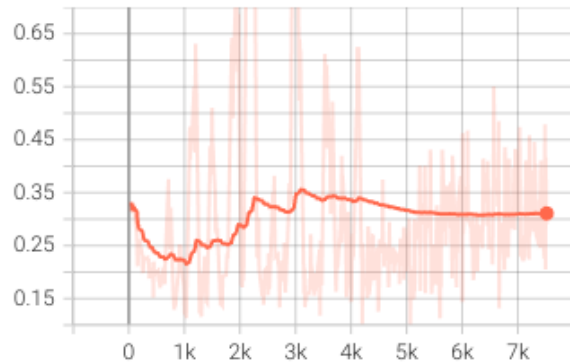
train/reg_loss
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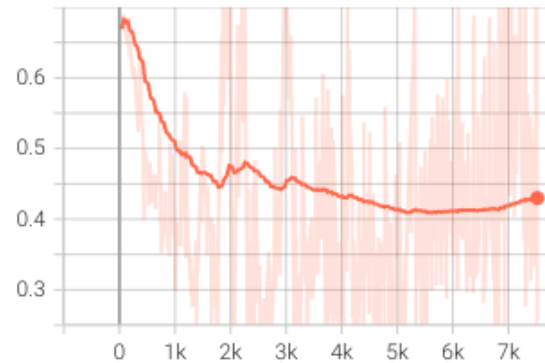
train/total_loss
tag: train/total_loss



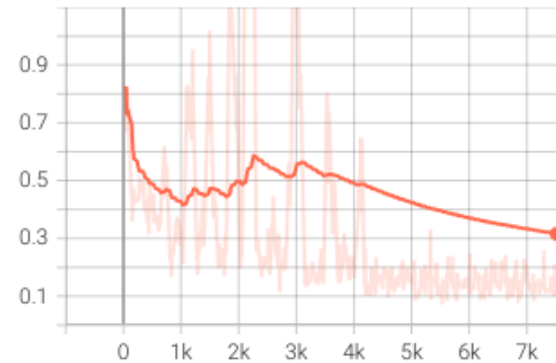
val/cls_loss
tag: val/cls_loss



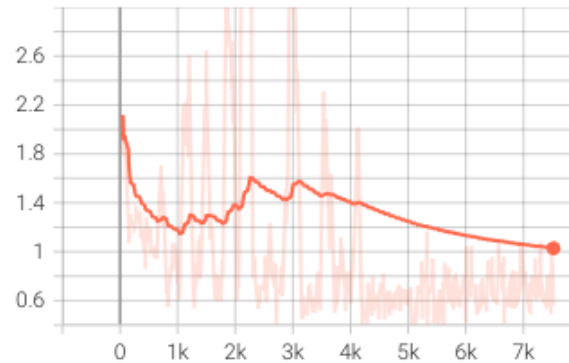
val/dir_cls_loss
tag: val/dir_cls_loss



val/reg_loss
tag: val/reg_loss



val/total_loss
tag: val/total_loss



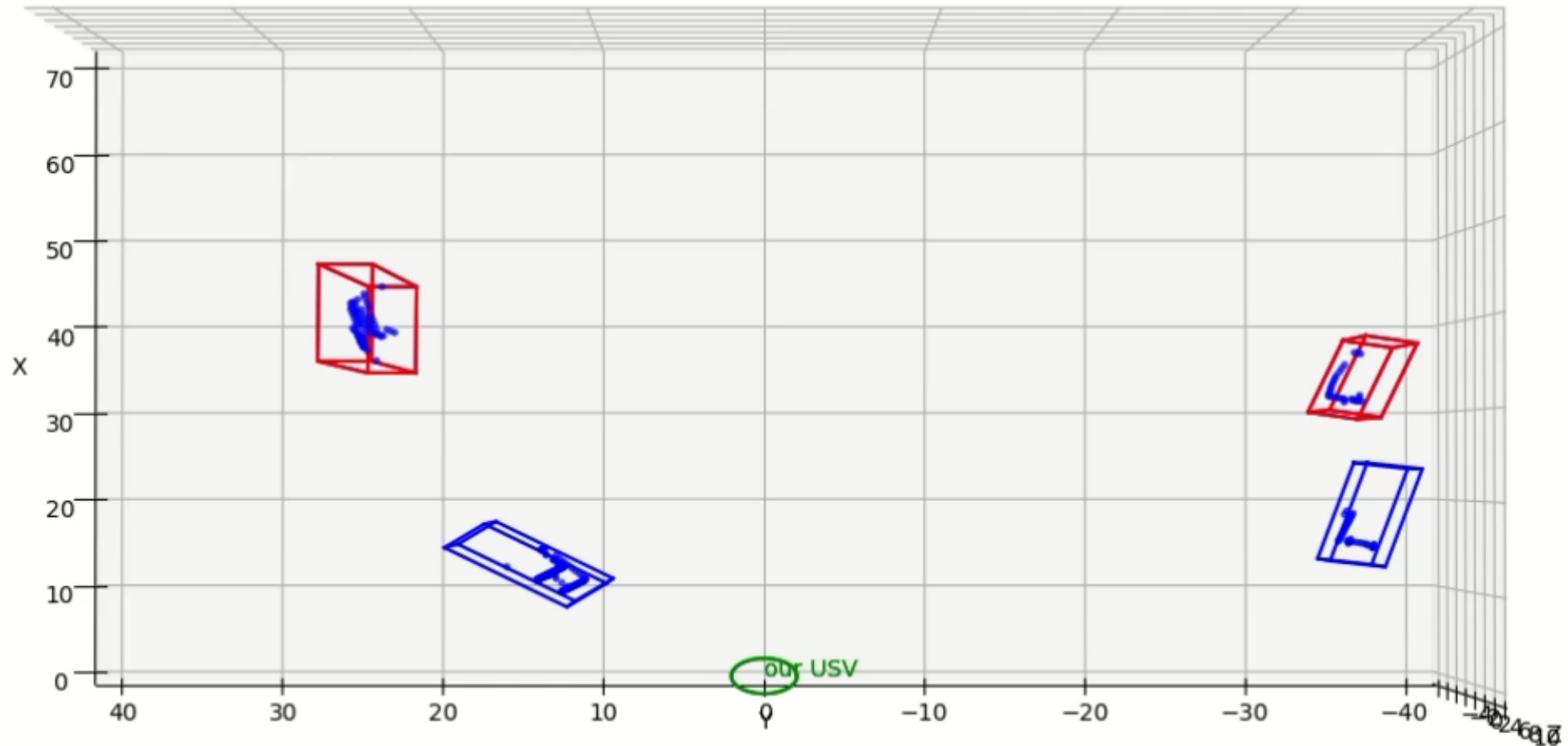
- Computing system equipped with an AMD EPYC 7T83 CPU, an NVIDIA GeForce RTX 4090 GPU.
- The dataset utilized for training the PointPillar model was generated through simulation using ROS.

PointPillar Results

Method	LiDAR Layers	Average Percentage (%)				mAP (%)
		Small_boat	Medium_boat	Large_boat	Buyo	
PointPillar (ours)	16	59.3	63.4	71.4	43.2	62.5
PointPillar (Lin et al. [9])	16	-	-	-	47.1	60.8



Object Tracking Results



Conclusion and Future Works

- **Our framework includes:**

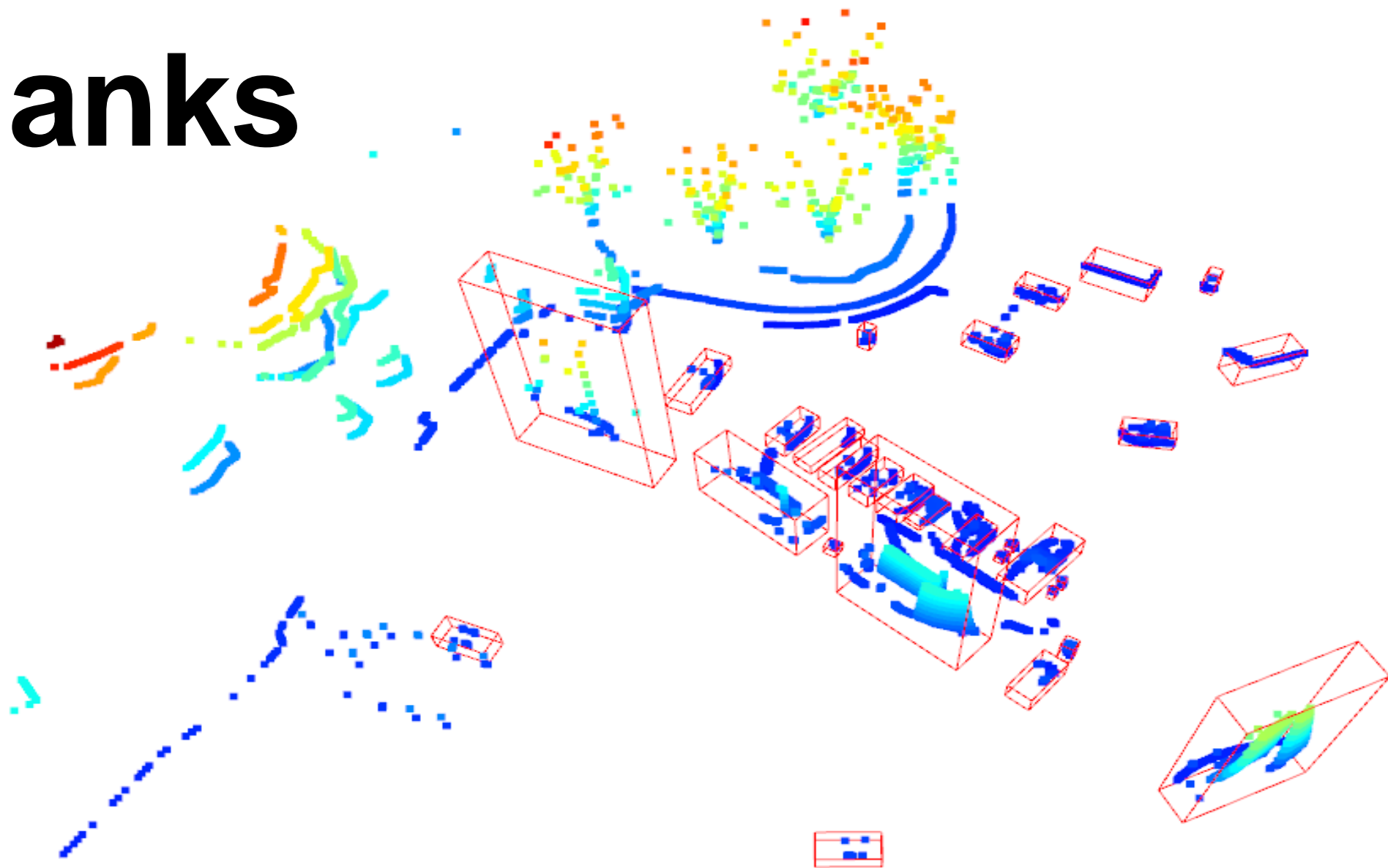
- Employing the deep learning network PointPillars for direct processing of LiDAR point clouds and detection of target objects.
- Deploying a Kalman Filter for tracking detected objects using only detection input, enabling continuous and robust detection.

Experiments conducted in a port area simulated using ROS demonstrate that our proposed method achieves commendable performance.

- **Future Works:**

- Collect real-world data to train and test our framework.
- Refine our PointPillars by enabling Bayesian network integration.
- Integrate our framework into Otter USV for field testing.

Thanks



References

- [1] Maritime Robotics, “A closeup of the Otter USV,” <https://www.maritimerobotics.com/otter> (accessed Apr. 10, 2024).
- [2] OceanAlpha, “Autonomous Surveillance & Rescue Vessel,” <https://www.oceanalpha.com/product-item/m75/> (accessed Apr. 10, 2024).
- [3] OPT, “WAM-V 22 Rugged and relentless,” Ocean Power Technologies, <https://wam-v.com/bestsellers> (accessed Apr. 10, 2024).
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- [9] Lin, J., Diekmann, P., Framing, C.-E., Zweigel, R., & Abel, D. (2022). Maritime Environment Perception Based on Deep Learning. *IEEE Transactions on Intelligent Transportation Systems*, 23(9), 15487–15497. [Online]. Available: <https://doi.org/10.1109/TITS.2022.3140933>